#### National Reports on the Transfer of Movables in Europe Austria, Estonia, Italy, Slovenia

Wolfgang Faber Brigitta Lurger (Eds.)

european law publishers

## National Reports on the Transfer of Movables in Europe

# National Reports on the Transfer of Movables in Europe

Volume 1: Austria, Estonia, Italy, Slovenia

edited by

Wolfgang Faber / Brigitta Lurger

Schriften zur Europäischen Rechtswissenschaft / European Legal Studies / Etudes juridiques européennes

Band 7 / Volume 7 / Volume 7

European Legal Studies Institute, Osnabrück
Molengraaff Institute for Private Law, Utrecht
Amsterdam Institute for Private Law
Institute of European and Comparative Law, Oxford
Institut für Zivilrecht, Ausländisches und Internationales Privatrecht, Graz

sellier.
european law
publishers

#### Schriften zur Europäischen Rechtswissenschaft / European Legal Studies / Etudes juridiques européennes

herausgegeben

im European Legal Studies Institute, Osnabrück von Christian von Bar

im Molengraaff Institute for Private Law, Utrecht von Ewoud Hondius

im Amsterdam Institute for Private Law von Martijn W. Hesselink

im Institute of European and Comparative Law, Oxford von Stefan Vogenauer

im Institut für Zivilrecht, Ausländisches und Internationales Privatrecht, Graz von Brigitta Lurger

This book has been published with the financial support of: Bundesministerium für Wissenschaft und Forschung (Vienna).

The whole series of national reports is supported by: Swiss Institute of Comparative Law (Lausanne); Land Salzburg; Evers-Marcic-Stiftung an der Rechtswissenschaftlichen Fakultät Salzburg.

ISBN 978-3-86653-073-7

The Deutsche Nationalbibliothek lists this publication in the Deutsche Nationalbibliografie; detailed bibliographic data are available in the Internet at http://dnb.d-nb.de.

© 2008 by sellier. european law publishers GmbH, Munich.

All rights reserved. No part of this publication may be reproduced, translated, stored in a retrieval system or transmitted, in any form or by any means, electronic, mechanical, photocopying, recording or otherwise, without prior permission of the publisher.

Design: Sandra Sellier, Munich. Production: Karina Hack, Munich. Printing and binding: AZ Druck und Datentechnik GmbH, Kempten. Printed on acid-free, non-ageing paper. Printed in Germany.

© sellier. european law publishers www.sellier.de

#### Preface

This is the first of a series of national reports on basic issues concerning the acquisition and loss of ownership of movable assets. The series is planned to cover 27 European legal systems, distributed over six volumes, and appears as a by-product of the research activities of the Graz & Salzburg working group 'Transfer of Movables' within the 'Study Group on a European Civil Code'.¹ The Study Group – as a successor of the Lando Commission on European Contract Law – has developed 'European' black letter rules with extensive comments and comparative notes (published in the years 2006-2009) for almost all areas of patrimonial law: contracts, torts, unjustified enrichment, benevolent intervention, security rights in movables, trusts, and acquisition and loss of ownership of goods. The key publication of our working group on ownership of movables (containing text, comments and notes), which will be published in 2009, is primarily based on the information provided to the group by the 27 national reports and its national reporters.

Starting with general property law issues like the concepts of ownership and possession employed in the respective legal systems, and the related means of protection, the reports primarily deal with the 'derivative' transfer of ownership, but extend to good faith acquisition from a non-owner, acquisitive prescription, processing and commingling, and further related issues. Corresponding to the working group's task within the Study Group, the reports are generally restricted to movable assets and basically leave aside fiduciary transfers, such as transfers for security purposes. After all, they do, however, not only cover mere property law issues, but also much of the related law of obligations, enforcement law and insolvency law – in a generally accessible language, i.e. English.

The original purpose of these national reports was to provide the working group with detailed information about the respective rules, case law and legal literature, prepared by national property law experts, serving as a basis for the working group's own comparative research. But it was soon clear that these reports have a value of their own, worth being shared with the scientific community as a starting point for further comparative research in property law as well as with practitioners searching

For further information, see *Lurger*, Introduction to the Project 'Transfer of Movables': Organisational Framework, Basic Issues and Goals, in: Faber/Lurger (eds.), Rules for the Transfer of Movables – A Candidate for European Harmonisation or National Reforms? (2008), 1.

VI Preface

for information on foreign legal systems. Although all reports are based on the same detailed questionnaire, we did not impose a strict structure on the authors, but regarded it important to allow for adaptations according to the – partly – fundamentally different starting points and structures followed by the national property law regimes. The idea was to present a complete picture of the respective part of law in each legal system, revealing that system's way of thinking and structural interdependencies, covering the subject matters determined by the questionnaire in an appropriate way. This way of discussing each legal system in detail and as a unified whole also aims at supplementing the working group's final publication in the 'Principles of European Law' series, where information about the single legal systems will necessarily be presented in a more compact form and structured according to the draft rules proposed there.

Where available and as far as reasonable, the reports include translations of the most important statutory provisions either in the text or in an annex. All reports include a table of literature and a table of abbreviations, which shall facilitate carrying out further research. However, it is inevitable that the reports will, to some degree, differ as to length and intensity, which will often reflect the number of material available.

Publishing the whole series of national reports would not be possible without generous support by a number of institutions. This first volume received particular funding from the Austrian Ministry of Science and Research (Bundesministerium für Wissenschaft und Forschung). For the whole series, financial support is granted by the Swiss Institute of Comparative Law (Lausanne), the Land Salzburg and the Evers-Marcic-Stiftung an der Rechtswissenschaftlichen Fakultät der Universität Salzburg. The editors wish to express their gratitude to all these institutions. We also wish to thank Mrs Monika Lammer for formatting the manuscripts and, in particular, our colleague Ernest Weiker, LLB, for his enormous efforts in linguistically improving all reports published in this volume.

May 2008 Salzburg and Graz Wolfgang Faber Brigitta Lurger

#### List of Contributors

Wolfgang Faber Assistant professor at the University of Salzburg, Department of Private Law

Alessio Greco

Research assistant in the working group on 'Transfer of Movables', University of Graz, and doctoral student at the 'Scuola di Dottorato in Studi Giuridici Comparati ed Europei' at the University of Trento

Tomaž Keresteš Assistant professor at the University of Maribor, Faculty of Law

Kai Kullerkupp Lecturer of Civil Law, Institute of Private Law, Faculty of Law of the University of Tartu

Vesna Rijavec Professor at the University of Maribor, Faculty of Law

Claudia Rudolf
Associate Professor at the University of Vienna, Faculty of Law,
Section of Comparative Law, Uniform Law and Private International Law

#### Contents

Preface	V
List of Contributors	VI
National Report on the Transfer of Movables in Austria Wolfgang Faber	1
National Report on the Transfer of Movables in Estonia Kai Kullerkupp	219
National Report on the Transfer of Movables in Italy Alessio Greco	341
National Report on the Transfer of Movables in Slovenia Claudia Rudolf/Vesna Rijavec/Tomaž Keresteš	507

## National Report on the Transfer of Movables in Austria

Wolfgang Faber

#### Table of Contents

Introdu	uction	9
Part I: Basic	information on property law	
1.	Ownership and other property rights	
1.1.	General basics	
1.1.1.	Austrian property law	12
1.1.2.	Characteristics of property rights (rights in rem) in contrast to obligations	15
1.1.3.	General principles of Austrian property law	16
1.2.	Notion and concept of ownership	10
1.2.1. 1.2.2.	Definition of and restrictions on the right of ownership Rights and interests linked to ownership	18 19
1.3.	Other property rights	24
1.4.	Protection of ownership	
1.4.1. 1.4.2.	Right to recover physical control (rei vindicatio) Protection against creditors: Insolvency of and	25
	enforcement proceedings against the possessor	27
1.4.3.	Right to refrain from interference (actio negatoria)	28
1.4.4.	Right to claim damages	30
1.4.5.	Claim for unjustified enrichment	31
1.5.	Transferability of movable property	
1.5.1.	Statutory limitations on transferability, res extra commercium	33
1.5.2.	Limitations on transferability by contract or will	34
1.5.3.	No separate transferability of accessories	34

Table of Contents		3

2.	Possession	
2.1. 2.1.1. 2.1.2.	Notion and categories of possession The notion of possession Categories of possession	35 38
2.2.	Functions of Possession	40
2.3.	Excursion: The meaning of possession in 'good faith'	41
2.4.	Acquisition of Possession	42
2.5. 2.5.1. 2.5.2. 2.5.3.	Judicial protection of possession General aspects Requirements and consequences in detail Persons entitled to and affected by judicial possessory remedies	42 43 45
2.6. 2.6.1. 2.6.2. 2.6.3.	Protection of 'better possession' (actio Publiciana) Basic principles and requirements Remedies Scope of application	47 48 48
2.7. 2.7.1. 2.7.2.	Self-help Requirements of lawful self-help Persons entitled to self-help	48 50
3.	Rights to use and rights to acquire on the borderline between property rights and obligations ('quasi rights in rem')	
3.1.	Lease	51
3.2.	Other cases of holding a movable: Custody, service provider's right to retain	53
3.3.	Rights to acquire: Buyer under reservation of title, pre-emption etc.	54
4.	Scope of the rules on the transfer of movables, relevant definitions	55

4 Austria

### Part II: Derivative acquisition

5.	The system of transfer of ownership under Austrian law	
5.1. 5.1.1. 5.1.2.	Basic characteristics and general overview 'Derivative' and 'original' acquisition, unitary transfer concept Overview of the main transfer requirements ('causal tradition system')	58 59
5.1.3.	Universal applicability	61
5.2.	The unitary concept of ownership and the unitary transfer concept in particular	
5.2.1. 5.2.2.	Basic idea of the unitary transfer concept Other branches of law partially providing 'functional' effects	62 63
5.3.	General requirement: Identification	70
5.4. 5.4.1. 5.4.2. 5.4.3.	The requirement of a valid obligation to transfer ownership Suitable types of obligations Validity of the obligation: 'Causal' transfer system Exception: Abstract transfer of registered ships	71 72 80
5.5. 5.5.1. 5.5.2.	The requirement of a 'real agreement' (Verfügungsgeschäft) Consequences Problems	81 82
5.6. 5.6.1.	Delivery Physical delivery (§ 426 ABGB)	86 87
5.6.2.	Symbolic delivery (§ 427 ABGB)	88
5.6.3.	Transfer by documents of title	91
5.6.4.	Brevi manu traditio (§ 428, 2 <sup>nd</sup> alternative ABGB)	93
5.6.5.	Constitutum possessorium (§ 428, 1 <sup>rst</sup> alternative ABGB)	94
5.6.6. 5.6.7.	Delivery by order to a third party in possession  Delivery in the case of transport by an independent carrier	96
<b>5</b> 6 0	(§ 429 ABGB)	98
5.6.8. 5.6.9.	Transfer by registration Conclusive remark on publicity: Purpose and criticism of the delivery requirement	99 101
5.6.10.	Necessity of delivery in the case of an assignment or cessio legis?	102
5 7	Transferor's right or authority to dispose	103